

# Rescue extracranial vertebral stenting in tandem occlusions: A preliminary Vietnamese study

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## Abstract

**Background and Purpose:** The acute vertebrobasilar occlusion associated with the poor prognosis, particularly tandem occlusion. However, few data on the efficacy of the endovascular therapy was indicated in this occlusion. We investigated whether the additional rescue extracranial vertebral stenting improved clinical outcome by modified Rankin scale (mRS) score within 3 months after the procedure.

**Methods:** This was a retrospective analysis of patients with acute posterior tandem occlusion who were treated with rescue extracranial vertebral stenting between December 2020 and January 2024 at our hospital. Clinical, neuroimaging, procedural, and complication data were collected. Primary outcomes included the rate of good outcomes (mRS  $\leq 2$ ) at 3-month follow-up.

**Results:** Nine patients who underwent rescue extracranial vertebral stenting in posterior circulation tandem occlusions were enrolled in the study. All patients were achieved the successful recanalization (mTICI  $\geq 2b$ ). Of Dotter technique in the “distal-to-proximal” approach, Diagnostic-Dotter made up 66.7%. Five patients (55.6%) with good outcome (mRS  $\leq 2$ ) at 3 months, and 1 patient (11.1%) underwent suboccipital decompressive craniectomy due to the malignant cerebellar infarction.

**Conclusion:** Our study suggests that despite the small series with posterior tandem occlusions, the rescue extracranial vertebral stenting could be an important alternative treatment followed by mechanical thrombectomy.

## Keywords

Thrombectomy, ischemia, vertebrobasilar insufficiency, balloon-expandable stents, extracranial arteries

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## Introduction

Acute vertebrobasilar artery occlusion is one of the cerebrovascular diseases with high disability and mortality rates. Although mechanical thrombectomy has recently been demonstrated an effective treatment for large vessel occlusions of posterior circulation,<sup>1–4</sup> the treatment strategies depending on the specific pathophysiology.<sup>5</sup> Tandem occlusion in posterior circulation is less frequent than in anterior one, comprises severe stenosis or occlusion of the extracranial vertebral artery ipsilateral to its intracranial and basilar occlusion.<sup>5</sup> The recent studies showed the benefit of the “distal-to-proximal” approach, there were many techniques to achieved the successful recanalization. Besides, the use of Dotter techniques allowed 8F guiding catheter to cross over the extracranial lesions easily in order to restore the intracranial occlusions under the most favorable conditions.<sup>6,7</sup> However, the Diagnostic-Dotter, like the Dilator-Dotter, has not been mentioned in previous studies. Therefore, the aim of our study was to assess the improvement of outcomes at 3 months posttreatment in patients receiving rescue extracranial vertebral stenting.

## Methods

The data that support the findings of this study are available from the corresponding author on reasonable request.

## Subjects

We retrospectively evaluated a prospective database for 9 consecutive patients diagnosed acute tandem occlusions of posterior circulation by DSA who were

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treated with rescue extracranial vertebral stenting at our hospital between December 2020 and January 2024. Inclusion criteria were: (1) age  $> 18$  years old; (2) the admission of National Institutes of Health Stroke Scale (NIHSS)  $> 5$ ; (3) onset to treatment time  $< 24$  h; (4) posterior circulation Acute Stroke Prognosis Early CT Score (pc-ASPECTS)  $\geq 5$ . The exclusion criteria were: (1) premorbid mRS  $> 2$ ; (2) cerebellar mass effect on neuroimaging (Figure 1).

**Procedures.** The general anesthesia was used before intervention. An 8F sheath was accessed into the femoral artery. In tandem occlusion, the “distal-to-proximal” approach was defined that the mechanical thrombectomy was firstly performed in the intracranial occlusion followed by recanalization of the extracranial occlusion by stenting. After identifying the dominant vertebral artery, a coaxial system with an 8F guiding catheter and 5F diagnostic catheter was generally attempted first to cross through the occluded segment with a 0.035-inch guide wire (the so-called “Diagnostic-Dotter” technique). If this access through the extracranial occlusion was impossible, the Dilator-Dotter was applied by a straight 6F 90-cm Neuron MAX 088 guiding catheter (Penumbra, Alameda, CA) with the inner dilator and a 0.035-inch guide wire to pass over the extracranial occlusion segment. Mechanical thrombectomy was performed to recanalize the intracranial segments and the successful recanalization was identified by the modified Treatment In Cerebral Infarction (mTICI  $\geq 2b$ ). After that, the 8F guiding catheter was pulled in the ipsilateral subclavian artery and a loading dose of dual antiplatelet therapy (300 mg clopidogrel and 162 mg aspirin) was used via nasogastric tube.<sup>8</sup> The placement of balloon-expandable stent was in the extracranial lesion.<sup>9</sup> After the procedure, a head CT scan or MRI was performed to determine complications: malignant cerebellar edema and intracranial hemorrhage. If the results of neuroimaging were negative, dual antiplatelet therapy (75 mg clopidogrel and 100 mg

aspirin) was taken orally daily. Informed consent was obtained from all patient representatives after detailed explanation about the procedures. Our study has followed the STROBE guidelines.

**Outcomes.** At 3 months, the primary endpoint was the good clinical outcome assessed by applying the mRS (mRS  $\leq 2$ ). The favorable outcome comprised of good and moderate outcome (mRS 3). These scores were evaluated through telephone interviews (Figure 1).

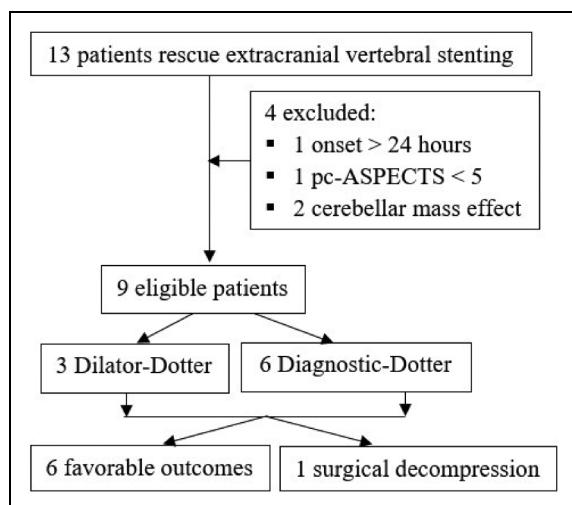
**Statistical analyses.** All statistical analyses were carried out using Stata statistical software, version 17 (StataCorp LLC, Texas, USA). Statistical significance for intergroup differences was assessed with Fisher exact test for categorical variables. All tests were two sided with  $p < 0.05$  considered to be statistically significant.

## Results

From December 2020 to January 2024, 9 eligible cases (8 men and 1 woman, mean age  $65.8 \pm 15.3$  years) with acute posterior tandem occlusions were treated by rescue stent extracranial vertebral stenting and mechanical thrombectomy at our hospital. Median NIHSS score at admission was  $15.6 \pm 4.9$  (range 10 to 22). Overall, the median time from symptom onset to hospital arrival was  $4.8 \pm 1.3$  h and the median door-to-groin puncture time was  $167.6 \pm 138.6$  min. Although smoking was identified in 88.9% of patients, only 33.3% of patients had poor

**Table 1.** Baseline characteristics of patients with rescue extracranial vertebral stenting.

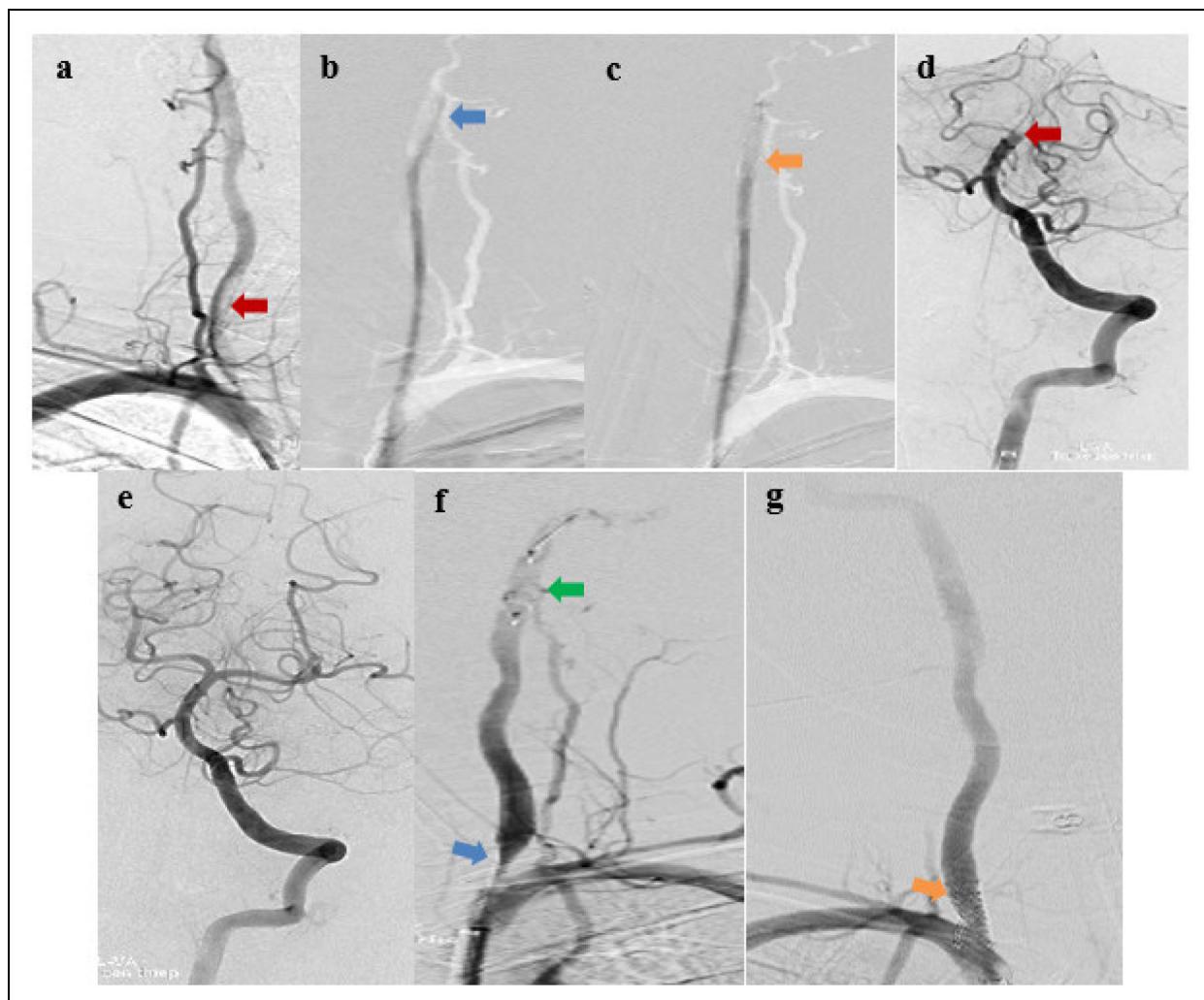
Patient characteristics	Rescue stenting (N = 9)
Age in year, mean (SD)	65.8 (15.3)
Male sex, n (%)	8 (88.9)
Smoking, n (%)	8 (88.9)
Admission NIHSS, mean (SD)	15.6 (4.9)
Onset-to-door time in hours, mean (SD)	4.8 (1.3)
Door-to-groin puncture time in minutes, mean (SD)	167.6 (138.6)
pc-ASPECTS, mean (SD)	6.9 (0.9)
Occlusive lesion, n (%)	7 (77.8)
Procedural time in minute, mean (SD)	88.9 (47.1)
Procedural time $> 60$ min, n (%)	6 (66.7)
Aspiration only, n (%)	8 (88.9)
EPD, n (%)	1 (11.1)
Diagnostic-Dotter technique, n (%)	6 (66.7)
Sedation after procedure, n (%)	3 (33.3)
Malignant cerebellar edema, n (%)	1 (11.1)
Surgical decompression, n (%)	1 (11.1)
Length of stay in ICU in days, mean (SD)	5 (3.8)
Length of hospital stay in days, mean (SD)	11.8 (6.4)
Stroke rehabilitation, n (%)	5 (55.6)
Good outcome, n (%)	5 (55.6)
Mortality, n (%)	1 (11.1)



**Figure 1.** Flow-chart of patient inclusion in the study.

**Table 2.** Association of main characteristics with clinical outcomes at 3-month.

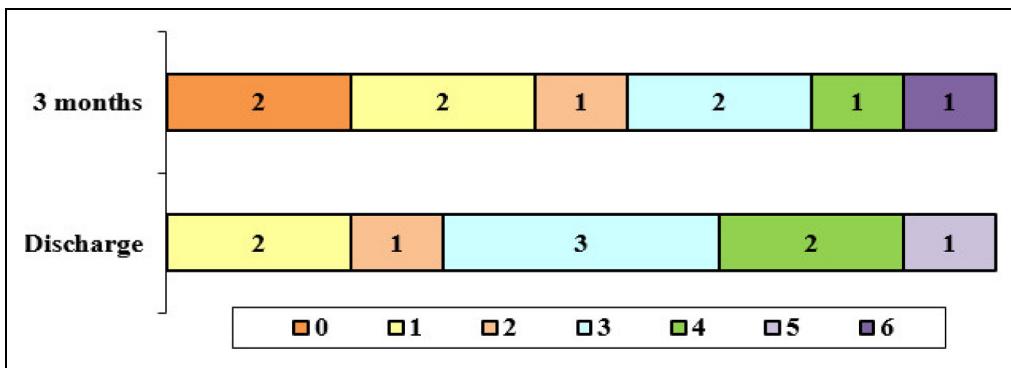
Baseline characteristics	Favorable Outcome (n = 6)	Poor Outcome (n = 3)	p
Age ≥ 70 years, n (%)	2 (22.2%)	1 (11.1%)	1
Male sex, n (%)	5 (55.6%)	3 (33.3%)	1
Smoking, n (%)	5 (55.6%)	3 (33.3%)	1
Onset time < 6 h, n (%)	4 (44.4%)	2 (22.2%)	1
NIHSS ≥ 16, n (%)	2 (22.2%)	2 (22.2%)	0.5
Left side, n (%)	5 (55.6%)	1 (11.1%)	0.2
pc-ASPECTS ≥ 8, n (%)	3 (33.3%)	0	0.5
Procedural time > 60 min, n (%)	3 (33.3%)	3 (33.3%)	0.5
Dilator-Dotter technique, n (%)	3 (33.3%)	0	0.5
Sedation after procedure, n (%)	1 (11.1%)	2 (22.2%)	0.2
Length of stay in ICU < 6 days, n (%)	5 (55.6%)	2 (22.2%)	1
Length of hospital stay < 14 days, n (%)	5 (55.6%)	2 (22.2%)	1



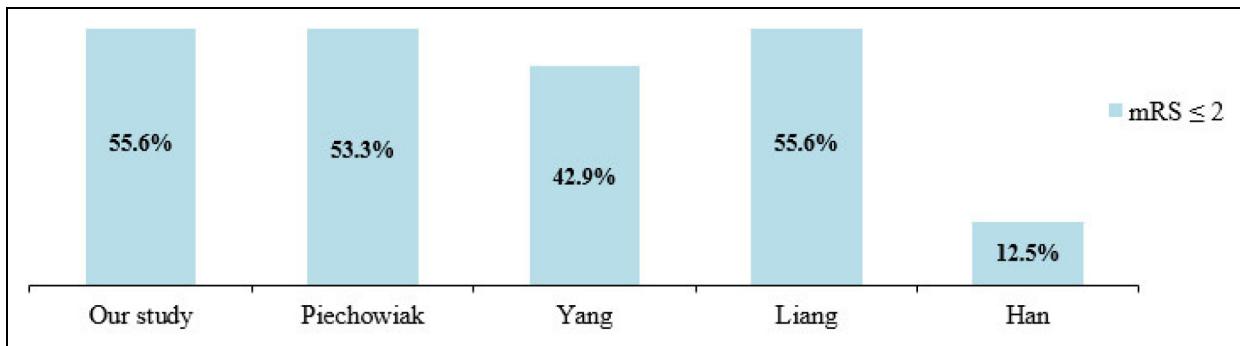
**Figure 2.** Sample case: a) Extracranial vertebral artery occlusion (red arrow); b) the 5F diagnostic catheter selected through occlusion (blue arrow); c) the coaxial system with an 8F guiding catheter and 5F diagnostic catheter attempted to crossed through the occluded segment (orange arrow); d) Occlusion of top basilar artery (red arrow); e) Intracranial restoration after aspiration; f) Severe stenosis extracranial vertebral artery (blue arrow) and embolic protection devices (EPDs) (green arrow); g) Placement of balloon-expandable stent (Dynamic Renal 5/15; Biotronik AG, Bülach, Switzerland) (orange arrow). The mRS 1 was after 3-month.

outcome (Table 2). In 9 cases, 7 occlusive lesions were visible after the angiography. As shown in Table 1, 8 patients were treated with aspiration alone in intracranial occlusion and 1 case involved the embolic protection

devices (EPDs) after mechanical thrombectomy. All of patients were achieved successful recanalization (mTICI ≥ 2b). Sample case was shown in Figure 2. Diagnostic-Dotter technique was used in 6 of 9 (66.7%)



**Figure 3.** Distributions of the 3-month mRS in rescue extracranial vertebral stenting patients.



**Figure 4.** Comparison of good outcomes ( $mRS \leq 2$ ) at 3 months between relevant studies.

cases and Dilator-Dotter technique was in 3 of 9 (33.3%) cases. Besides, no significant difference between the Dotter techniques were found in poor outcome at 3 months ( $p = 0.5$ , Table 2). Follow-up MRI obtained after procedure showed 1 malignant cerebellar edema case, who was immediately performed by surgical decompression. Average length of stay in Intensive Care Unit (ICU) was  $5 \pm 3.8$  days (range, 2–14 days), whereas mean length of stay in hospital was  $11.8 \pm 6.4$  (range, 7–28 days). At 3 months follow-up, 5 patients (55.6%) had a good outcome ( $mRS \leq 2$ ), 1 (11.1%) had a moderate outcome ( $mRS 3$ ), and 3 (33.3%) had a poor outcome ( $mRS \geq 4$ ) (Figure 3). Mortality was 11.1% (Table 1).

## Discussion

Tandem vertebrobasilar occlusions are the complex lesions of posterior circulation stroke, which made longer procedural time and more risky than the isolated lesions with embolic occlusions. Most studies have documented recanalization of the vertebrobasilar arterial system in tandem occlusions in the posterior circulation through the distal-to-proximal approach technique<sup>5–7,10–12</sup> and a few have applied the proximal-to-distal approach.<sup>5,13</sup> The proximal-to-distal approach allowed balloon predilation alone or balloon-expandable stent first at extracranial occlusion followed by restoring the intracranial occlusion with mechanical thrombectomy; and the distal-to-proximal approach had the reverse order of steps

of the proximal-to-distal one. For the proximal-to-distal approach, advancing the 8F guiding catheter to the V2 segment of vertebral artery through placement of stent before is a substantial challenge and partial angioplasty in stent could allow to advance the guiding catheter to the V2 segment. The careful consideration should be given to choose appropriate technique. However, for the proximal-to-distal approach, there are the following disadvantages: (1) when extracranial stent is placed at the origin of the vertebral artery, the 8F guiding catheter can be only in the subclavian artery, which may affect the process of inserting the devices to recanalize the intracranial occlusions; (2) early restoration of blood flow of vertebral artery may increase the risk of migration of residual thrombus to distal segments; (3) influence on the stability of the stent during mechanical thrombectomy in intracranial segments. That is the reason why our study, Han,<sup>7</sup> Xing,<sup>10</sup> and Liang<sup>14</sup> all prioritize the distal-to-proximal approach. In order to advance the guiding catheter through the extracranial lesions, Xing<sup>10</sup> and Liang<sup>14</sup> chose the temporary angioplasty, whereas Han et al.<sup>7</sup> only chose the Dilator-Dotter technique which used the coaxial of a straight 6F 90-cm Neuron MAX 088 guiding catheter (Penumbra, Alameda, CA) with the inner dilator. Dilator-Dotter technique supported the coaxial system be stronger to go through extracranial occlusion after the determination the true lumen with 4F MPA catheter (Cordis, Miami Lakes).<sup>7</sup> In our study, the Diagnostic-Dotter technique (6 cases) was performed more than the

Dilator-Dotter one because the 5F diagnostic catheter had clearer radiopaque part and softer than the dilator. These reasons showed the 8F guiding catheter passed through the occlusion effectively and safely. None of procedural complications (vessel perforation, dissection, thromboembolism,...) recorded. This result was similar to the study of Cohen,<sup>5</sup> Han,<sup>7</sup> Yang,<sup>13</sup> and Liang,<sup>14</sup> whereas Piechowiak et al.<sup>11</sup> recorded 2 cases (13.3%) of vertebral artery dissection due to excessive advance of the 8F guiding catheter through the extracranial lesion, but no specific treatment was required. In our study, the rate of good outcomes after 3 months was 55.6% (Table 1), was similar to the study of Liang<sup>14</sup> and more than the previous studies<sup>7,11,13</sup> (Figure 4) and no significant difference was recorded. Although 1 case with malignant cerebellar infarction was performed by decompressive craniotomy, the clinical outcome improved mRS 3 after 3 months and aspirin 81 mg was taken orally daily.

Although our study had several limitations with a relatively small sample size and retrospective data at a single center, it provided essential data to suggest the approaches in tandem vertebrobasilar occlusions.

## Conclusions

In summary, this study showed high recanalization and favorable clinical outcome rate can be improved with rescue extracranial vertebral stenting for the acute posterior tandem occlusion. Further randomized trials are needed to confirm these results.

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## Author contributions

TLM, CTC, and GNL handled the conceptualization of the study. TLM, CTC, and TNT did the data curation of the study. TLM, CTC, and HNDN conducted the formal analysis of the study. TLM, CTC, and GNL did the investigation on the study. CTC, NNH, DNH, LTMB, and TLM handled the methodology of the study. CTC handled the project administration of the study. TLM, CTC, GNL, HNDN, and LDH handled the resources of the study. TLM and TNT took care of the software of the study. TLM, CTC, GNL, TNT, and LDH supervised the study. TLM, CTC, GNL, and HNDN validated the study. TLM, CTC, and GNL took care of the visualization of the study. TLM, CTC, NNH, DNH, GNL, and LTMB contributed to the writing - original draft of the study. TLM, CTC, NNH, DNH, GNL, and LTMB contributed to the writing - review and editing.

## Data availability statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

## Declaration of conflicting interests

The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

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## Ethics approval

Our study is registered in the ClinicalTrials.gov with ID NCT06365294. The study involving human participants was reviewed and approved by the Ethics Council in biomedical research in Can Tho S.I.S General Hospital (11723/QD-S.I.S) and Ethical Board of the University of Medicine and Pharmacy at Ho Chi Minh City (number 1087/HDDD-DHYD on November 02<sup>nd</sup>, 2023).

## Informed consent

Informed consent was obtained from all patient representatives after detailed explanation about the procedures.

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